

# A Generalized Framework for Analyzing Time-Space Switched Optical Networks

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## Abstract

Recent advances in photonic switching have paved the way for realizing all-optical time switched networks. The current technology of Wavelength Division Multiplexing (WDM) offers bandwidth granularity that matches peak electronic transmission speed by dividing the fiber bandwidth into multiple wavelengths. However, the bandwidth of a single wavelength is too large for certain traffic. Time Division Multiplexing (TDM) allows multiple traffic streams to share the bandwidth of a wavelength efficiently. While introducing wavelength converters and time slot interchangers improve network blocking performance, it is often of interest to know the incremental benefits offered by every additional stage of switching.

As all-optical networks in the future are expected to employ heterogeneous switching architectures, it is necessary to have a generalized network model that allows the study of such networks under a unified framework. In this paper, a network model, called Trunk Switched Network (TSN), is proposed to facilitate the modeling and analysis of such networks. An analytical model for evaluating the blocking performance of a class of TSN's is also developed.

With the proposed framework, it is shown that a significant performance improvement can be obtained with a time-space switch with no wavelength conversion in multi-wavelength TDM switched networks. The framework is also extended to analyze the blocking performance of multicast tree establishment in optical networks. To the best of our knowledge, this is the first work that provides an analytical model for evaluating the blocking performance for tree establishment in an optical network. The analytical model allows a comparison between the performance of various multi-cast tree construction algorithms and the effects of different switch architectures.

## Keywords

Optical networks, WDM-TDM switching, Performance modeling, Multicast

## I. INTRODUCTION

Wavelength Division Multiplexing (WDM) has emerged as an efficient mechanism for information transport in all-optical networks. WDM divides the available fiber bandwidth into a set of wavelengths (WDM channels). Early research in optical networks focused on single-fiber multi-wavelength wavelength-routed networks. Nodes in these networks can switch wavelengths across ports. A Wavelength Converter (WC) is a device that allows the signal on one wavelength to be converted on to another wavelength. If wavelength converters are not available, a call arriving at a node on a certain wavelength has to be switched to the same wavelength at the output. Although wavelength converters improve network blocking performance, the high cost of wavelength converters has made it impractical to employ full-wavelength conversion at all nodes. The role of wavelength converters in wavelength-routed networks has been studied in [1], [2], [3], [4], [5], and [6]. The role of sparse-wavelength conversion, where only a few nodes in the network have

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full-wavelength conversion capability, has been analyzed in [6]. The effect of limited-wavelength conversion, where a given input wavelength can be converted into a set of, but not all, output wavelengths has been studied in [7] and [8]. Multi-fiber multi-wavelength wavelength-routed networks have been shown to offer blocking performance similar to that of networks that employ limited- or sparse-wavelength conversion in [9], [10], and [11].

WDM offers bandwidth granularity close to the peak electronic transmission speed. The bandwidth of a single wavelength is too large for certain traffic requirements. While some traffic may have a requirement of fractional wavelength, another traffic that is already using a full-wavelength might want to expand its capacity, but not want to pay for an entire new wavelength. This motivates the need for providing fractional wavelength capacity to the network traffic.

Provisioning fractional wavelength capacity is achieved by dividing a wavelength into time slots and multiplexing traffic on the wavelength. The resulting optical time division multiplexed networks (WDM-TDM networks) can be classified into two categories [12]: dedicated-wavelength TDM (DW-TDM) networks and shared-wavelength TDM (SW-TDM) networks. In DW-TDM networks, each source-destination pair is connected by a *lightpath*, where a lightpath is defined as an all-optical connection between two nodes. Calls between the source and destination are multiplexed on the lightpath. If the bandwidth required by a new call at a node is not available on any of the existing lightpaths to the destination, a new lightpath to the destination is established. On the other hand, in SW-TDM networks, if a call cannot be accommodated on an existing lightpath to the destination, it is allowed to be multiplexed onto an existing lightpath to an intermediate node. The call is then switched from the intermediate node to the final destination either directly or through other nodes. However, if none of the existing lightpaths from the node can accommodate the call, a new lightpath to the destination is established.

The performance of SW-TDM networks depend on efficient merging of the fractional wavelength requirements of the nodes into a full or almost-full wavelength requirement. This merging of traffic from different source-destination pairs is called *traffic grooming*. Nodes that can groom traffic are capable of multiplexing/de-multiplexing lower rate traffic onto a wavelength and switching them from one lightpath to another. The grooming of traffic can be either static or dynamic. In static traffic grooming, the source-destination pairs whose requirements will be combined are pre-determined. In dynamic traffic grooming, connection requests from different source-destination pairs are combined depending on the existing lightpaths at the time of request arrival.

Recent advances in optical switching technology, as in [13], [14], and [15], have shown the possibility of realizing fast all-optical switches with switching time less than a nanosecond. The use of such fast switches along with fiber delay lines as time-slot interchangers [16], [17] have opened up the possibility to realize optical time switched networks. These networks will be referred to as *WDM-TDM Switched* networks in the rest of this paper. Connection between a source and destination in a WDM-TDM switched network is realized by assigning a time slot on every link of a chosen path, with the constraint that the slot on one link can be switched to the next link by the intermediate node. The

WDM-TDM switched network can be considered as a special case of SW-TDM network, where all the nodes in network are capable of grooming traffic and lightpaths to the neighboring nodes are established permanently. The bandwidth granularity offered by a WDM-TDM network is determined by the duration of a time slot which, in turn, depends on the speed at which the switching can be accomplished. In general, an WDM-TDM switched network is a multi-fiber, multi-wavelength, TDM-switched all-optical network.

Routing individual slot dynamically requires information processing in optical domain. However, the technology in optical processing and storage has not matured to achieve run-time routing decisions at high-speeds. Therefore, WDM-TDM switched networks are expected to be *circuit-switched* in nature. As the information in a time slot is not read by an intermediate node at run-time, the switching employed here is also referred to as *transparent optical switching*.

In [12], a single-fiber multi-wavelength TDM-switched network has been analyzed for blocking performance, as an extension of the link-independence model proposed for wavelength-routed network in [3] and [4]. The equivalence of single-fiber multi-wavelength TDM-switched network to multi-fiber multi-wavelength wavelength-routed networks has been shown in [10] and [11]. A wavelength-routed network is a special case of WDM-TDM switched network when every wavelength has one time slot.

It is well understood that all-optical networks in the future would comprise of nodes that employ heterogeneous switching architectures, like wavelength-routing switch, WDM-TDM switch with or without wavelength conversion, etc. The existing work on the analysis of optical networks in literature study the role of switching functionalities, like wavelength conversion, time slot interchange, etc. in isolation. In other words, the network model that is considered has nodes that either have or do not have the specific functionality that is studied. However, in order to analyze a network with nodes employing heterogeneous switching architectures, it is necessary to have a generalized network model that would enable the modeling of realistic networks and evaluate them under a unified framework. In this paper, a network model, called Trunk Switched Network (TSN), is proposed to facilitate modeling and analysis of such networks.

The paper is organized as follows: Section II describes WDM-TDM switched network architectures. Section III introduces the concept of a trunk switched network and modeling of a WDM-TDM switched network as a TSN. An analytical model for evaluating the blocking performance of a class of TSN's is developed in Section IV. The model is then extended for evaluating blocking performance for tree establishment in Section V. A multi-wavelength TDM switched network is analyzed using this framework and the network blocking performance is compared against three different switch architectures. Section VI discusses the performance results obtained using the analytical model. Section VII concludes the paper.

## II. WDM-TDM SWITCHED NETWORKS

A WDM-TDM switched network consists of switching nodes interconnected by one or more optical fibers. Each fiber carries a certain number of wavelengths. Each wavelength is divided into frames which are further sub-divided into time slots. Let  $L$  denote the number of links at a node,  $F$  denote the number of fibers per link,  $W$  denote the number of wavelengths per fiber, and  $T$  denote the number of time slots per frame on a wavelength.

Every slot within a frame can be denoted by a 4-tuple,  $(l, f, w, t)$ , where  $1 \leq l \leq L$ ,  $1 \leq f \leq F$ ,  $1 \leq w \leq W$ , and  $1 \leq t \leq T$ . For example, the tuple  $(1, 1, 2, 1)$  (read from right to left) denotes first time slot in a frame on the second wavelength of the first fiber on the first link. A *channel* on a link is defined as a collection of a particular time slot across successive frames. Hence, the number of channels in a link is the same as the number of slots in a frame,  $F \times W \times T$ . Each channel is also represented by a 4-tuple,  $(l, f, w, t)$ , similar to the representation of a slot. It can be observed that if a frame has only one time slot,  $T = 1$ , a WDM-TDM switched network reduces to a multi-fiber multi-wavelength wavelength-routed network. A switch at a node maps an input channel to an output channel. The constraints on the mapping of an input channel to an output channel is determined by the nature of the switch.

The simplest switch architecture is a space switch. In this switch, an input channel,  $(l, f, w, t)_i$ , could be mapped to an output channel,  $(l, f, w, t)_o$ , if and only if  $t_i = t_o$ ,  $w_i = w_o$ , and  $f_i = f_o$ . With a time slot interchanger (TSI), an input channel  $(l, f, w, t)_i$  could be mapped to an output channel  $(l, f, w, t)_o$ , where  $t_i \neq t_o$ , by delaying the signals. A combination of time and space switching can be employed in multiple stages to realize more permutation of space and time. If the switches do not employ wavelength conversion, then the wavelength of the input and output channels must be the same, hence  $w_i = w_o$ . In networks with multiple fibers connecting two nodes an input channel,  $(l, f, w, t)_i$ , can be mapped to an output channel  $(l, f, w, t)_o$ , where  $f_i \neq f_o$ .

## III. TRUNK SWITCHED NETWORKS

A trunk switched network (TSN) consists of switching nodes interconnected by links. Each link has a set of channels. The number of channels in a link, denoted by  $C$ , is the same on all the links in the network. A node in a TSN views a link as a set of  $K$  trunks with  $S$  channels per trunk, where  $KS = C$ . Fig. 1 shows the node architecture in a TSN. The node has four links connected to it. Each link is viewed as a set of 4 trunks by the node. Switching at every node obeys the following two conditions:

- A full-channel interchanger (FCI) is employed at the input for every trunk, as shown in Fig. 1.
- Switching at a node obeys trunk-continuity constraint, i.e., the channels cannot be switched across trunks.

The definition of a trunk could be different across nodes. For example, one node could view a link as  $K_1$  trunks with  $S_1$  channels per trunk while another node could view the link as  $K_2$  trunks with  $S_2$  channels per trunk, where  $K_1 S_1 = K_2 S_2 = C$ . Fig. 2 shows two nodes in a TSN connected by a link. The input to switch from other links at the

node are not shown in the figure. Fig. 2(a) shows two nodes that view the link as a set of 4 trunks. In Fig. 2(b), the first node views the link as 3 trunks while the second node views the link as 4 trunks.

A TSN is said to be *homogeneous* if the collection of channels that constitute a trunk at a node is the same for all the nodes in the network. Otherwise, it is said to be *heterogeneous*. The nodes shown in Fig. 2(a) could form a part of homogeneous TSN if the channels that constitute a trunk for the two nodes are the same as that at the rest of the nodes. Note that two nodes could view a link as a set of  $K$  trunks, but could still be heterogeneous if the channels within the trunks are not identical. On the other hand, the nodes shown in Fig. 2(b) form a part of a heterogeneous TSN. Although the trunk definition is the same for all the nodes in a homogeneous TSN, the switching employed within a trunk could be different at different nodes. As channels cannot be switched across trunks at a node, by the definition of a trunk at the node, a homogeneous TSN imposes an *end-to-end trunk-continuity constraint* on the connections.

A trunk on a link, as viewed by a node, is said to be *busy* if all the channels in the trunk are busy, otherwise it is said to be *free*. Fig. 3 shows a node in a TSN and one of its input and output links. The link is viewed as a set of 4 trunks by the node. The number of channels busy on a trunk at the input of a node is the same as the number of channels busy on the trunk at the input to the switch at the node. However, the distribution of the busy channels on the trunk at the input of the node is different from that at the input of the switch. The number of trunks busy at the input of a node is the same as the number of trunks busy at the input of the switch at that node.

Consider a trunk on a two-link path (a trunk at the input and output of the node shown in Fig. 3). The trunk is said to be *available* on the two-link path if there is a free channel in the trunk on the first link that can be switched by the node to a free channel in the second link, subjected to the constraints of the switch. Hence, if a trunk is free on two links individually, it does not necessarily imply that the trunk is available on the two-link path. For example, consider a scenario when the switch at the node shown in Fig. 3 is a space-only switch<sup>1</sup>. Hence channel continuity constraint is imposed by the switch. Also, assume that there are 5 channels per trunk. Let channels 1 and 2 on a trunk be busy at the input of the switch and channels 3, 4, and 5 be busy at the output of the switch. The free channels at the input of the switch (hence, at the node) cannot be switched to the free channels at output of the switch. Hence, the trunk is not available on the two-link path.

A connection between a source and destination is established over a path. Each path consists of a set of links and the number of links in a path denotes the length of the path. The selection of a path in the network could be either static or dynamic. A connection between a source and a destination over a path is realized by assigning a channel on each link on the path such that every node on the path can switch the channel assigned on its input link to the channel assigned on its output link. A call is said to be blocked if such a channel assignment is not possible.

<sup>1</sup>Although the switch at a node is space-only the node behaves like a channel-space switch.

Multicast connections are established in these networks by copying the signal in an input channel and switching the individual copies to multiple output channels. However, it is constrained that all the copies of the input signal should remain within the same trunk. Hence, this copying will also be referred to as *intra-trunk* copying. Therefore, the total number of copies that can be made from an input signal is limited by the number of channels within a trunk. The number of copies that are made from an input signal is referred to as *degree of splitting*.

#### A. Modeling a WDM-TDM switched network as a TSN

A WDM-TDM switched network can be modeled as a TSN. Although a trunk can be defined as an arbitrary collection of channels, only a few such collections make a meaningful trunk definition in reality. Some possible trunk definitions at a node are discussed here with an example.

Consider a link with one fiber, 4 wavelengths per fiber and 5 time slots per wavelength ( $F = 1$ ,  $W = 4$ ,  $T = 5$ ). Each slot on a link  $l$  is denoted by a 4-tuple tuple  $(l, f, w, t)$ , where  $f = 1$ ,  $1 \leq w \leq 4$ , and  $1 \leq t \leq 5$ .

- If time slot interchange and wavelength conversion are not permitted, then, for any link  $l$ , each wavelength and time slot combination can be treated as a trunk, i.e.,  $Tr_{(w,t)} = \{(l, 1, w, t)\}$ . In this case, a link is viewed as  $WT$  trunks with one channel per trunk.
- If time slot interchange is permitted, but not wavelength conversion, then for a given link  $l$ , every wavelength can be considered as a trunk, i.e.,  $Tr_w = \{(l, 1, w, t) | 1 \leq t \leq T\}$ . Thus, a link is viewed as  $W$  trunks with  $T$  channels per trunk. Note that, the switch at a node need not provide full-permutation switching capability.
- If full-wavelength conversion is permitted, but not time slot interchange, then for a given link  $l$ , a time slot on all the wavelengths can be grouped to form a trunk, i.e.,  $Tr_t = \{(l, 1, w, t) | 1 \leq w \leq W\}$ . Thus, a link is viewed as  $T$  trunks with  $W$  channels per trunk.
- If both full-wavelength conversion and time slot interchange are permitted, then the entire link is treated as one trunk with  $WT$  channels.

A multi-fiber multi-wavelength wavelength-routed network with  $F$  fibers and  $W$  wavelengths with no wavelength conversion can be viewed as  $W$  trunks with  $F$  channels per trunk. If full-wavelength conversion is available, then a link can be viewed as a single trunk with  $FW$  channels. However, networks that employ limited-wavelength conversion, as defined in [7] and [8], cannot be modeled easily or effectively as a TSN, as full-permutation wavelength-conversion is not employed.

## IV. ANALYSIS OF TRUNK SWITCHED NETWORKS

Consider a trunk switched network with  $N$  nodes. An analytical model for evaluating the blocking performance is developed in this section based on the following assumptions:

- The call arrival at every node follows a Poisson process with rate  $\lambda_n$  and is equally likely to be destined to any other node. The choice of Poisson traffic is to keep the analysis tractable.
- The bandwidth requirement of every call is assumed to be of one channel capacity.
- The holding time of every call follows an exponential distribution with mean  $\frac{1}{\mu}$ . The Erlang load offered by a node is  $\rho_n = \frac{\lambda_n}{\mu}$ .
- The path selection is pre-determined (fixed-path routing), eg: shortest-path.
- Blocked calls are not re-attempted.
- A call is assigned a channel randomly from a set of available channels.

The network blocking probability is computed as the average blocking probability experienced over different path lengths. Consider a  $z$ -link path model as shown in Fig. 4.

Let  $P_z(T_f)$  denote the probability of  $T_f$  trunks being available on a  $z$ -link path as viewed by the last node on the path<sup>2</sup> (node  $z$ ). The definition of the trunk is as viewed by the node denoted by the suffix for  $P$ .  $P_z(T_f = 0)$  denotes the blocking probability over the  $z$ -link path. The ensemble average of the network blocking probability, denoted by  $P_b$ , is obtained as:

$$P_b = \sum_{z=1}^{N-1} P_z(T_f = 0)P(z) \quad (1)$$

where  $P(z)$  denotes the probability of selecting a  $z$ -link path.  $P(z)$  depends on the network topology and routing strategy employed in the network, and can be easily computed for most regular network topologies and routing strategies.

Let  $P_z(T_f, T_l)$  denote the probability of  $T_f$  trunks being available on a  $z$ -link path with  $T_l$  trunks free on the last link. It can be seen that the last link should have at-least  $T_f$  trunks free, therefore  $T_l \geq T_f$ .  $P_z(T_f)$  can then be written as:

$$P_z(T_f) = \sum_{T_l=T_f}^{K_z} P_z(T_f, T_l) \quad (2)$$

where  $K_z$  denotes the number trunks in the link as viewed by node  $z$ .

A  $z$ -link path is analyzed as a two-hop path by considering the first  $z - 1$  links as the first hop and the last two links as the second hop, as shown in Fig. 4. Let  $T_h$  and  $T_p$  denote the number of trunks available on the first hop and that which are free on the last link of the first hop (link  $z - 1$ ), respectively, as viewed by the last node on the first hop (node  $z - 1$ ). Let  $T_1$  and  $T_2$  denote the number of trunks free on the first hop and number of trunks free on the last link of the first hop as seen by the node in the second hop (node  $z$ ).  $P_z(T_f, T_l)$  can then be recursively computed as:

$$P_z(T_f, T_l) = \sum_{T_h=0}^{K_{z-1}} \sum_{T_p=T_h}^{K_{z-1}} \sum_{T_1=T_f}^{K_z} \sum_{T_2=T_1}^{K_z} P_{z-1}(T_h, T_p) P(T_1, T_2|T_h, T_p) P(T_f, T_l|T_1, T_2) \quad (3)$$

<sup>2</sup>The destination is not considered as the last node in the path.

where  $P(T_f, T_l|T_1, T_2)$  denotes the probability of  $T_f$  trunks being available on the second hop with  $T_l$  trunks free on the last link of the second hop given that  $T_1$  trunks are available on the first hop with  $T_2$  trunks free at the input to the node on the second hop.  $P(T_1, T_2|T_h, T_p)$  denotes the probability that the number of trunks available on the first hop and number of trunks free on the last link of the first hop as viewed by the node in the second hop are  $T_1$  and  $T_2$ , respectively, given that the trunk availability as viewed by the last node on the first hop is  $T_h$  and  $T_p$ . For homogeneous TSN's,  $P(T_1, T_2|T_h, T_p)$  is defined as:

$$P(T_1, T_2|T_h, T_p) = \begin{cases} 1 & \text{if } T_h = T_1 \text{ and } T_p = T_2 \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

For a homogeneous TSN, Eqn. (3), therefore, reduces to:

$$P_z(T_f, T_l) = \sum_{T_h=T_f}^K \sum_{T_p=T_h}^K P_{z-1}(T_h, T_p) P(T_f, T_l|T_h, T_p) \quad (5)$$

where  $K$  denotes the number of trunks in a link as viewed by the nodes in the network. The computation of  $P(T_1, T_2|T_h, T_p)$  for different trunk definitions is being studied by the authors. Hence, the scope of the analysis presented in this paper is limited to homogeneous TSN's.

The starting point of the recursion, for  $z = 1$ , is defined as:

$$P_1(T_f, T_l) = \begin{cases} P(T_l) & \text{if } T_f = T_l \\ 0 & \text{otherwise} \end{cases} \quad (6)$$

where  $P(T_l)$  denotes the probability of  $T_l$  trunks being free on a link. The computation of  $P(T_l)$  is discussed in Section IV-B.

$P(T_f, T_l|T_h, T_p)$  is computed by conditioning on the number of trunks free on the last link as:

$$P(T_f, T_l|T_h, T_p) = \begin{cases} P(T_f|T_h, T_p, T_l) P(T_l|T_h, T_p) & \text{if } T_h \geq T_f \\ 0 & \text{otherwise} \end{cases} \quad (7)$$

where  $P(T_l|T_h, T_p)$  denotes the probability of  $T_l$  trunks being free on the last link given that  $T_h$  trunks are available on the first hop with  $T_p$  trunks free on the last link of the first hop. The number of trunks free on the last link depends on the number of trunks free on the previous links. If the correlation of traffic on a link is assumed to be only due to its previous link, then it is referred to as the *Markovian correlation*. With the assumption of Markovian correlation,  $P(T_l|T_h, T_p)$  can be reduced to  $P(T_l|T_p)$ . Hence, Eqn. (7) can be written as:

$$P(T_f, T_l|T_h, T_p) = \begin{cases} P(T_f|T_h, T_p, T_l) P(T_l|T_p) & \text{if } T_h \geq T_f \\ 0 & \text{otherwise} \end{cases} \quad (8)$$

$P(T_f|T_h, T_p, T_l)$  denotes the probability that  $T_f$  trunks are available on the two-hop path given that  $T_l$  trunks are free on the last link and  $T_h$  trunks are available on the first hop with  $T_p$  trunks free on the last link of the first hop.

$P(T_f|T_h, T_p, T_l)$  is computed by considering a two-link path as shown in Fig. 5. The number of trunks free on the first link and that which are free on the second link are denoted by  $T_p$  and  $T_l$ , respectively.

The trunk on a two-link path can be in any one of the following four states, as shown Fig. 6:

- **Case 1:** The trunk is busy on both the links. The trunk can be either partially or fully occupied by continuing calls. Let  $V_c$  denote the number of trunks busy on both the links.
- **Case 2:** The trunk is busy on the first link but not on the second.
- **Case 3:** The trunk is busy on the second link but not on the first.
- **Case 4:** The trunk is free on both the links. Let  $T_b$  denote the number of trunks free on both the first and second links. However, this does not imply that these trunks are available on the two-link path. Let  $T_a$  ( $T_a \leq T_b$ ) denote the number of trunks available on the two-link path. When the node connecting the two links employs a full-permutation switch, a trunk is available on the two link path if it is free on both the links. Hence,  $T_a = T_b$ .

Let  $P(T_a, T_b|T_p, T_l)$  denote the probability that  $T_b$  trunks are free on both the first and second link with  $T_a$  among them being available on the two-link path given that  $T_p$  and  $T_l$  trunks are free on the first and second links, respectively.

$P(T_f|T_h, T_p, T_l)$  can then be written as:

$$P(T_f|T_h, T_p, T_l) = \sum_{T_a=T_f}^{\min(T_p, T_l)} \sum_{T_b=T_a}^{\min(T_p, T_l)} P(T_f|T_a, T_b, T_h, T_p, T_l) P(T_a, T_b|T_p, T_l) \quad (9)$$

where  $P(T_f|T_a, T_b, T_h, T_p, T_l)$  denotes the probability of  $T_f$  trunks being available on the two-hop path given that  $T_h$  trunks are available on the first hop,  $T_p$  trunks are free on the first link (the first link in the two-link model is the last link on the first hop),  $T_l$  trunks are free on the second link,  $T_b$  trunks are free on both the first and second link and  $T_a$  among them available on the two-link path.

Fig. 7 shows the pictorial view of the distribution of free trunks on the last link of the first hop and that of the two-link path model. From this figure,  $P(T_f|T_a, T_b, T_h, T_p, T_l)$  can be computed along the lines of the following argument.

Assume that  $T_p$  trunks are free on the last link of the first hop with  $T_h$  among them available on the first hop. Also assume that  $T_b$  trunks are free on the first and second link of the two-link path with  $T_a$  among them being available on the two-link path. Among the  $T_a$  available trunks on the two-link path exactly  $T_f$  trunks overlap with the  $T_h$  trunks available on the first hop. The remaining trunks that are available on the first hop,  $T_h - T_f$ , are not available on the two-link path. This could occur in two cases: (1) the corresponding trunk is busy on the second link of the two-link path, or (2) the trunk is free on the second link but is not available on the two-link path (due to switching constraints). The number of trunks that satisfy the latter case is  $T_b - T_a$ . The required probability is computed by assuming that  $j$  of the trunks that are free on both the first and second link, but not available on the two-link path, overlap with the remaining  $T_h - T_f$  available trunks of the first hop. The trunks on the second link corresponding to the remaining  $T_h - T_f - j$

available trunks on the first-hop are busy. Thus,  $P(T_f|T_a, T_b, T_h, T_p, T_l)$  can be written as:

$$P(T_f|T_a, T_b, T_h, T_p, T_l) = \frac{\binom{T_h}{T_f} \binom{T_p - T_h}{T_a - T_f} \sum_j \binom{T_h - T_f}{j} \binom{T_p - T_h - T_a + T_f}{T_b - T_a - j}}{\binom{T_p}{T_b} \binom{T_b}{T_a}} \quad (10)$$

where  $\max(0, T_b + T_h - T_p - T_f) \leq j \leq \min(T_h - T_f, T_b - T_a)$ . For the special case, when the switch at a node has full-permutation switching capability, the above equation reduces to:

$$P(T_f|T_a, T_b, T_h, T_p, T_l) = \begin{cases} \frac{\binom{T_h}{T_f} \binom{T_p - T_h}{T_a - T_f}}{\binom{T_p}{T_a}} & \text{if } T_a - T_f \leq T_p - T_h \\ 0 & \text{otherwise.} \end{cases} \quad (11)$$

Also, for this case,  $P(T_a, T_b|T_p, T_l) = 0$  if  $T_a \neq T_b$ . Hence, Eqn. (9) can be written as:

$$P(T_f|T_h, T_p, T_l) = \sum_{T_a=T_f}^{\min(T_p, T_l)} P(T_f|T_a, T_a, T_h, T_p, T_l) P(T_a, T_a|T_p, T_l) \quad (12)$$

The probability values,  $P(T_a, T_b|T_p, T_l)$ ,  $P(T_l|T_p)$ , and  $P(T_l)$  are computed by considering a switch model as explained in the following subsections.

#### A. Estimation of call arrival rates on a link

Typically, the network traffic is specified in terms of the offered load between node pairs. The call arrival rates at the nodes have to be translated into arrival rates at individual links in the network. The computation of blocking probability depends on the link arrival rates, and the link arrival rates, in turn, depend on the network blocking probability. However, if the blocking probability in the network is small, then its effect on the link arrival rates can be ignored. The estimation of the link arrival rates has also been discussed in [6].

Consider a network with  $N$  nodes and  $L$  links. The mean path length of a connection in the network is given by:

$$Z_{av} = \sum_{z=1}^{N-1} z P(z) \quad (13)$$

where  $P(z)$  denotes the path-length distribution. The arrival rate of calls at a node is denoted by  $\lambda_n$ . The average link arrival rate, denoted by  $\lambda$ , is computed as:

$$\lambda = \frac{N\lambda_n Z_{av}}{L}. \quad (14)$$

To account for link-correlation, the arrival rates of calls to links that continue to a successive links also needs to be computed. The only known parameter of the path length distribution is the mean path length,  $Z_{av}$ . Hence, the probability that a call on a given link will continue to the next link can be computed in several possible ways. One possible approach is to assume that the probability that a call on a link continues to a next link is independent of the number of links traveled by the call. Hence, at each node, the probability that a call is destined to that node is given by

$\frac{1}{Z_{av}}$ . Hence, the probability that a call is continuing at a given node is  $1 - \frac{1}{Z_{av}}$ . Let the number of *exit links* for a path at a node be denoted by  $E$ , where *exit links* for a path is defined as those links at a node that do not connect the node to any of the previous nodes in the path. The arrival rate of calls to a link at a node that continue to a specific output link is denoted by  $\lambda_c = \gamma_c \lambda$ , where  $\gamma_c$  is the correlation factor given by:

$$\gamma_c = \left(1 - \frac{1}{Z_{av}}\right) \frac{1}{E} \quad (15)$$

### B. Free trunk distribution

Consider a two-link path model as shown in Fig. 8. Let  $u_p$ ,  $u_l$ , and  $u_c$  denote the number of channels busy on the first link, number of channels busy on second link, and number of channels occupied by calls that continue from the first link to the second, respectively. Note that  $u_c \leq \min(u_p, u_l)$ . The number of channels busy on a trunk at the input of node 1 is the same as the number of channels busy at the input of the switch (after the FCI) at the node, while the distribution of the busy channels at the input of the switch is independent of the distribution at the input of the node. Also, the state of a trunk (busy or free) at the input of the node is the same as that at the input to the switch. Therefore, the first link as referred to in this subsection would henceforth correspond to the link viewed at the input of the switch.

Let  $\lambda_p$  denote the arrival rate for calls to the first link,  $\lambda_l$  denote the arrival rate for calls to the second link, and  $\lambda_c$  [ $\lambda_c \leq \min(\lambda_p, \lambda_l)$ ] denote the arrival rate of calls to the first link that continue to the second link. If the link loads are assumed to be uniformly distributed, it follows that  $\lambda_p = \lambda_l = \lambda$ . The Erlang loads corresponding to the calls that occupy the first link, second link, and that which continue from the first to the second can be written as,  $\rho_p = \frac{\lambda_p}{\mu}$ ,  $\rho_l = \frac{\lambda_l}{\mu}$ , and  $\rho_c = \frac{\lambda_c}{\mu}$ , respectively.

The channel distribution on a two-link path can be characterized as a 3-dimensional Markov chain. The state-space is denoted by the 3-tuple  $(u_p, u_l, u_c)$ . The steady-state probability for the states can be computed as [18]:

$$\Pi(u_p, u_l, u_c) = \frac{\frac{(\rho_p - \rho_c)^{u_p - u_c}}{(u_p - u_c)!} \frac{\rho_c^{u_c}}{u_c!} \frac{(\rho_l - \rho_c)^{u_l - u_c}}{(u_l - u_c)!}}{\sum_{j=0}^{KS} \sum_{i=j}^{KS} \sum_{k=j}^{KS} \frac{(\rho_p - \rho_c)^{i-j}}{(i-j)!} \frac{\rho_c^j}{(j)!} \frac{(\rho_l - \rho_c)^{k-j}}{(k-j)!}} \quad (16)$$

where  $0 \leq u_p \leq KS$ ,  $0 \leq u_l \leq KS$ , and  $0 \leq u_c \leq \min(u_p, u_l)$ .

Let  $V_p$ ,  $V_l$ , and  $V_c$  denote the number of trunks busy on the first link, number of trunks busy on the second link, and number of trunks that are busy on both the first and second links, respectively. It can be observed that  $V_c \leq \min(V_p, V_l)$ . The number of trunks free on both the links is given by,  $T_b = K - (V_p + V_l - V_c)$ . The number of trunks available on the two-link path is denoted by  $T_a$ . The state-space of the trunk distribution is captured by the 4-tuple  $(V_p, V_l, V_c, T_a)$ . The steady-state probability of the states can be computed by conditioning on the channel distribution,  $(u_p, u_l, u_c)$  as:

$$\psi(V_p, V_l, V_c, T_a) = \sum_{u_c=0}^{KS} \sum_{u_p=u_c}^{KS} \sum_{u_l=u_c}^{KS} P(V_p, V_l, V_c, T_a | u_p, u_l, u_c) \Pi(u_p, u_l, u_c) \quad (17)$$

where  $P(V_p, V_l, V_c, T_a | u_p, u_l, u_c)$  denotes the probability that the trunk distribution is in state  $(V_p, V_l, V_c, T_a)$  given that the channel distribution is  $(u_p, u_l, u_c)$ . The following probability values that are required to complete the analytical model, described in the previous section, can then be derived from the above steady-state probability.

$$P(T_a, T_b | T_p, T_l) = \frac{\psi(K - T_p, K - T_l, K + T_b - T_p - T_l, T_a)}{\sum_{t_a=0}^{\min(T_p, T_l)} \sum_{t_b=t_a}^{\min(T_p, T_l)} \psi(K - T_p, K - T_l, K + t_b - T_p - T_l, t_a)} \quad (18)$$

$$P(T_l | T_p) = \frac{\sum_{t_a=0}^{\min(T_p, T_l)} \sum_{t_b=0}^{\min(T_p, T_l)} \psi(K - T_p, K - T_l, K + t_b - T_p - T_l, t_a)}{\sum_{t_l=0}^{KS} \sum_{t_a=0}^{\min(T_p, t_l)} \sum_{t_b=0}^{\min(T_p, t_l)} \psi(K - T_p, K - t_l, K + t_b - T_p - t_l, t_a)} \quad (19)$$

$$P(T_l) = \sum_{t_p=0}^K \sum_{t_a=0}^{\min(t_p, T_l)} \sum_{t_b=t_a}^{\min(t_p, T_l)} \psi(K - t_p, K - T_l, K + t_b - t_p - T_l, t_a) \quad (20)$$

The trunk occupancy probability for a given a channel distribution, is computed as:

$$P(V_p, V_l, V_c, T_a | u_p, u_c, u_l) = \frac{N_{k=K}(V_p, V_l, V_c, T_a | u_p, u_l, u_c)}{A_{k=K}(u_p, u_l, u_c)} \quad (21)$$

where  $N_k(V_p, V_l, V_c, T_a | u_p, u_l, u_c)$  denotes the number of ways of arranging across  $k$  trunks,  $u_p$  busy channels on the first link,  $u_l$  busy channels on the second link, with  $u_c$  channels among them being occupied by calls that continue from the first link to second, such that  $V_p$  trunks are busy on the first link,  $V_l$  trunks are busy on the second link with  $V_c$  among them busy on both the links, and  $T_a$  trunks being available on the two-link path.  $A_k(u_p, u_l, u_c)$  denotes all possible ways of arranging across  $k$  trunks,  $u_p$  busy channels on the first link,  $u_l$  busy channels on the second link with  $u_c$  channels among them being occupied by calls that continue from the first link to second.  $A_k(u_p, u_l, u_c)$  is recursively computed as:

$$A_k(u_p, u_l, u_c) = \sum_{z=0}^{\min(S, u_c)} \sum_{x=z}^{\min(S, u_p)} \sum_{y=z}^{\min(S, u_l)} A_1(x, y, z) A_{k-1}(u_p - x, u_l - y, u_c - z) \quad (22)$$

where  $0 \leq u_p \leq kS$ ,  $0 \leq u_l \leq kS$ , and  $0 \leq u_c \leq \min(u_p, u_l)$ . The definition of  $A_1(x, y, z)$  depends on the nature of switch.

$N_k(V_p, V_l, V_c, T_a | u_p, u_l, u_c)$  (written as  $N_k(\cdot)$  for short due to space constraints) is assigned 0 if any of the following conditions hold true:

- $\{V_p, V_l, V_c, T_a, u_p, u_l, u_c\} < 0$

- $\{V_p, V_l, V_c, T_a\} > k$
- $\{u_p, u_l\} > kS$  or  $u_c > \min(u_p, u_l)$
- $u_p < V_p S$  or  $u_l < V_l S$

Otherwise, it is computed recursively under one of the following four cases, as described in Section IV, Fig. 6:

**Case 1:** If  $V_c > 0$

The required probability is obtained by conditioning on a trunk being busy on both the links.

$$N_k(\cdot) = \frac{k}{V_c} \sum_{z=0}^S A_1(S, S, z) N_{k-1}(V_p - 1, V_l - 1, V_c - 1, T_a | u_p - S, u_l - S, u_c - z) \quad (23)$$

**Case 2:** If  $V_c = 0, V_p > 0$

The required probability is obtained by conditioning on a trunk being busy on the first link but free on the second link.

$$N_k(\cdot) = \frac{k}{V_p} \sum_{z=0}^{\min(S-1, u_c)} \sum_{y=z}^{\min(S-1, u_l)} A_1(S, y, z) N_{k-1}(V_p - 1, V_l, V_c, T_a | u_p - S, u_l - y, u_c - z) \quad (24)$$

**Case 3:** If  $V_c = 0, V_p = 0, V_l > 0$

The required probability is obtained by conditioning on a trunk being free on the first link but busy on the second link.

$$N_k(\cdot) = \frac{k}{V_l} \sum_{z=0}^{\min(S-1, u_c)} \sum_{x=z}^{\min(S-1, u_p)} A_1(x, S, z) N_{k-1}(V_p, V_l - 1, V_c, T_a | u_p - x, u_l - S, u_c - z) \quad (25)$$

**Case 4:** If  $V_c = 0, V_p = 0, V_l = 0$

The required probability is obtained on the condition that a trunk is free on both the links. Two possible cases need to

be considered: (1) the trunk is available on the two-link path or (2) the trunk is not available on the two-link path. Let

$B_1(x, y, z)$  denote the number of ways of arranging on a trunk,  $x$  busy channels on the first link,  $y$  busy channels on the second link, with  $z$  channels among them being occupied by calls that continue from the first link to second, such that

the trunk is not available on the two-link path. Similarly, let  $F_1(x, y, z)$  denote the arrangement of the busy channels on a trunk such that the trunk is available on the two-link path. It can be observed that  $F_1(x, y, z) + B_1(x, y, z) = A_1(x, y, z)$ .

$N_k(\cdot)$  can then be computed as:

$$N_k(\cdot) = \sum_{z=0}^{\min(S-1, u_c)} \sum_{x=z}^{\min(S-1, u_p)} \sum_{y=z}^{\min(S-1, u_l)} [F_1(x, y, z) N_{k-1}(V_p, V_l, V_c, T_a - 1 | u_p - x, u_l - y, u_c - z) + B_1(x, y, z) N_{k-1}(V_p, V_l, V_c, T_a | u_p - x, u_l - y, u_c - z)] \quad (26)$$

The starting point of the recursion (for  $k = 1$ ), denoted by  $N_1(V_p, V_l, V_c, T_a | u_p, u_l, u_c)$ , is assigned 0 if any of the following conditions hold true:

1.  $V_p = 0$  and  $u_p = S$
2.  $V_l = 0$  and  $u_l = S$
3.  $V_c = 0$  and  $\min(u_p, u_c) = S$ .

Otherwise, it is defined in terms of  $B_1(u_p, u_l, u_c)$  and  $F_1(u_p, u_l, u_c)$  as,

$$N_1(\cdot) = \begin{cases} F_1(u_p, u_l, u_c) & \text{if } T_a = 1 \text{ and } V_p = V_l = V_c = 0 \\ B_1(u_p, u_l, u_c) & \text{if } T_a = 0 \\ 0 & \text{otherwise.} \end{cases} \quad (27)$$

The definitions of  $A_1(u_p, u_l, u_c)$ ,  $B_1(u_p, u_l, u_c)$ , and  $F_1(u_p, u_l, u_c)$  depend on the switch architecture.

### C. Example switch models

Two kinds of switches are modeled in this section: space-only switch and full-permutation switch. For a space-only switch, channel continuity constraint is enforced by the switch. Hence, a call continuing from the first link to the second occupies the same channel at the input and output of the switch. Note that although the switch is space-only, the switching provided by the node is channel-space due to the full-channel interchanger at the input of the node. A full-permutation switch, on the other hand, can switch any free channel at the input to any free channel at the output. For a trunk with  $S$  channels,  $A_1(u_p, u_l, u_c)$  and  $B_1(u_p, u_l, u_c)$  are defined as:

#### Space-only switch:

$$A_1(u_p, u_l, u_c) = \begin{cases} \binom{S}{u_p} \binom{u_p}{u_c} \binom{S-u_c}{u_l-u_c} & \text{if } 0 \leq u_p, u_l \leq S \\ & \text{and } u_c \leq \min(u_p, u_l) \\ 0 & \text{otherwise.} \end{cases} \quad (28)$$

$$B_1(u_p, u_l, u_c) = \begin{cases} \binom{S}{u_p} \binom{u_p}{u_c} \binom{u_p-u_c}{S-u_l} & \text{if } 0 \leq u_p, u_l \leq S \\ & u_c \leq \min(u_p, u_l), \text{ and} \\ & u_p + u_l - u_c \geq S \\ 0 & \text{otherwise.} \end{cases} \quad (29)$$

#### Full-permutation switch:

$$A_1(u_p, u_l, u_c) = \begin{cases} \binom{S}{u_p} \binom{S}{u_l} & \text{if } 0 \leq u_p, u_l \leq S \\ & \text{and } u_c \leq \min(u_p, u_l) \\ 0 & \text{otherwise.} \end{cases} \quad (30)$$

$$B_1(u_p, u_l, u_c) = \begin{cases} \binom{S}{u_p} \binom{S}{u_l} & \text{if } (u_p = S \text{ or } u_l = S) \\ & \text{and } u_c \leq \min(u_p, u_l) \\ 0 & \text{otherwise.} \end{cases} \quad (31)$$

It can be observed that the analytical models proposed earlier in the literature can be derived from this generalized model as:

- $K = W; S = 1; \gamma_c = 0$ ; [3], [4]
- $K = W; S = 1$ ; [6]
- $K = W; S = T; \gamma_c = 0$ ; full-permutation switch [12]
- $K = W; S = F$ ; full-permutation switch. [9]

## V. TREE ESTABLISHMENT IN TRUNK SWITCHED NETWORKS

Supporting multicast connections in WDM networks has gained importance in recent years due to the increasing number of distributive services. The benefits of supporting multicast traffic at the WDM layer are discussed in [19]. Various multicast models are introduced in [20] along with models to implement different multicast capable switch architectures.

Earlier work on the analysis of optical multicasting concentrate on two main areas: (1) minimizing the number of wavelengths required to support a static traffic demand [19], [21] and (2) multicast route selection algorithms that provide efficient utilization of the fiber bandwidth when dynamic setup and tear down of multicast traffic is considered [22], [23]. The blocking performance for establishing trees has been studied using link-independence model in [24]. However, the study is restricted to trees where the source reaches the destinations on a two-link path with a branching after the first link. To the best of our knowledge, there has been no other significant work that analyzes the blocking performance for establishing a multicast tree in an optical network.

### A. Analysis

Consider a tree, denoted by  $\mathcal{T}$ , that needs to be established in the network. Let  $P_{\mathcal{T}}(T_f)$  denote the probability that exactly  $T_f$  trunks are available to establish the tree.  $P_{\mathcal{T}}(0)$ , therefore, denotes the blocking probability for tree establishment. To compute  $P_{\mathcal{T}}(T_f)$ , assume that  $T_y$  trunks are free on the first link and  $T_x$  trunks among them are available for establishing the tree.  $P_{\mathcal{T}}(T_f)$  can be written as,

$$P_{\mathcal{T}}(T_f) = \sum_{T_x=T_f}^K \sum_{T_y=T_x}^K P_{\mathcal{T}}(T_f|T_x, T_y) P_1(T_x, T_y) \quad (32)$$

where  $P_{\mathcal{T}}(T_f|T_x, T_y)$  denotes the probability of  $T_f$  trunks being available to establish the tree given that  $T_y$  trunks are free on the first link with  $T_x$  among them being available.  $P_1(T_x, T_y)$  denotes the probability that  $T_y$  trunks are free on the first link with  $T_x$  among them being available.  $P_1(T_x, T_y)$  can be written as,

$$P_1(T_x, T_y) = \begin{cases} P(T_y) & \text{if } T_x = T_y \\ 0 & \text{otherwise.} \end{cases} \quad (33)$$

where,  $P(T_y)$  denotes the probability of  $T_y$  trunks being free on a link.

$P_{\mathcal{T}}(T_f|T_x, T_y)$  is computed by considering two cases, (1) if the tree does not have any branching and (2) if the tree has a branching.

### Case 1:

If the tree  $\mathcal{T}$  does not have any branching, then it is merely a path. If the path consists of  $z$  links, then  $P_{\mathcal{T}}(T_f|T_x, T_y)$  reduces to  $P_z(T_f|T_x, T_y)$ . This probability can be expressed as:

$$P_z(T_f|T_x, T_y) = \sum_{T_l=T_f}^K P_z(T_f, T_l|T_x, T_y) \quad (34)$$

where  $P_z(T_f, T_l|T_x, T_y)$  denotes the probability of having  $T_f$  trunks available on a  $z$ -hop path with  $T_l$  trunks free on the last link given that  $T_y$  trunks are free on the first link with  $T_x$  among them available.

A  $z$ -link is analyzed as a two-hop path by considering the first  $z - 1$  links as the first hop and last two links as the second hop, as shown in Fig. 9 (Fig. 4 is reproduced here as Fig. 9 for immediate reference).

Let  $T_h$  and  $T_p$  denote the number of trunks available on the first hop and that which are free on the last link of the first hop (link  $z - 1$ ).  $P_z(T_f, T_l|T_x, T_y)$  can then be recursively computed as:

$$P_z(T_f, T_l|T_x, T_y) = \sum_{T_h=T_f}^K \sum_{T_p=T_h}^K P_{z-1}(T_h, T_p|T_x, T_y) P(T_f, T_l|T_h, T_p) \quad (35)$$

where  $P(T_f, T_l|T_h, T_p)$  denotes the probability of  $T_f$  trunks being available on the  $z$ -link path with  $T_l$  trunks free on the last link given that  $T_h$  trunks are available on the first hop with  $T_p$  trunks free on the last link of the first hop. It can be observed that this probability involves only the last two links, hence is computed using a two-link model as described in Section IV.

The starting point of the recursion  $P_1(T_f, T_l|T_x, T_y)$  is given by:

$$P_1(T_f, T_l|T_x, T_y) = \begin{cases} 1 & \text{if } T_f = T_x \text{ and } T_l = T_y \\ 0 & \text{otherwise.} \end{cases} \quad (36)$$

### Case 2:

If the tree branches at an intermediate node, then the computation of the desired probability is carried out by splitting the tree into a combination of a path and subtrees. Consider an example tree as shown in Fig. 10(a) to be established. As the tree branches, it is split into a path up to the intermediate node  $I$ , denoted by  $\mathcal{P}$ , and a set of subtrees that branch out at the intermediate node. Let  $s$  denote the number of subtrees at the branching point and  $\mathcal{T}_1, \mathcal{T}_2, \dots, \mathcal{T}_s$  denote the

subtrees. The splitting of the tree into a path and a set of subtrees are shown in Fig. 10(b). Note that the last link of the path and first link of the subtrees are the same.

Let  $P_{\mathcal{P}}(T_u, T_v | T_x, T_y)$  denote the probability that  $T_u$  trunks are available to reach the intermediate node with  $T_v$  trunks free on the last link of the path given that the first link has  $T_y$  free trunks with  $T_x$  among them being available. The probability of  $T_f$  trunks being available to establish the given tree can be obtained by summing over all possible values of  $T_u$ ,  $T_v$ , and number of trunks available to establish paths on each of the  $s$  subtrees. Hence, it follows:

$$P_{\mathcal{T}}(T_f | T_x, T_y) = \sum_{T_u=T_f}^{T_x} \sum_{T_v=T_u}^K \sum_{(t_1, t_2, \dots, t_s)} P_{\mathcal{P}}(T_u, T_v | T_x, T_y) P(t_1, t_2, \dots, t_s | T_u, T_v) P(T_f | t_1, t_2, \dots, t_s, T_u) \quad (37)$$

where  $P(t_1, t_2, \dots, t_s | T_u, T_v)$  denotes the joint probability that subtree  $i$  has exactly  $t_i$  trunks available given that the first link in the subtree has  $T_v$  trunks free with  $T_u$  among them available.  $P(T_f | t_1, t_2, \dots, t_s, T_u)$  denotes the probability of  $T_f$  trunks being available for establishing the tree given that  $T_u$  trunks are available to establish the path and  $t_i$  trunks are available to establish subtree  $\mathcal{T}_i$ . Note that this probability does not depend on the number of free trunks on the first link of the subtrees ( $T_v$ ) as any trunk that is available to establish a subtree must be within the set of available trunks in the first link of the subtree ( $T_u$ ). It can be observed that  $t_i \geq T_f$ ,  $1 \leq i \leq s$ .

It is assumed that the distribution of the channels across different subtrees are independent of one another. Similar to link correlation, there is also a correlation factor that is introduced due to the intra-channel copying. Hence, if a channel is occupied in a subtree, then there is a positive probability that a channel in the same trunk can be occupied in another subtree, as they could be part of a tree established earlier. However, as the offered load due to multicast traffic is expected to be much smaller compared to the unicast connections, this correlation is neglected in this paper. Assuming the channel distribution across the subtrees are independent of each other,  $P(t_1, t_2, \dots, t_s | T_u, T_v)$  can be written as:

$$P(t_1, t_2, \dots, t_s | T_u, T_v) = \prod_{i=1}^s P_{\mathcal{T}_i}(t_i | T_u, T_v) \quad (38)$$

Let  $P_s(T_f | t_1, t_2, \dots, t_s, T_u, T_r)$  denote the probability of  $T_f$  trunks being available to establish the tree given that  $t_i$  trunks are available to establish subtree  $\mathcal{T}_i$  with  $T_u + T_r$  trunks available on the first link of the subtrees with the constraint that a trunk that is available to establish the tree must fall within the  $T_u$  set of trunks. It follows that  $P_s(T_f | t_1, t_2, \dots, t_s, T_u)$  is the same as  $P_s(T_f | t_1, t_2, \dots, t_s, T_u, 0)$ .  $P_s(T_f | t_1, t_2, \dots, t_s, T_u, T_r)$  is computed recursively by considering one subtree at a time and updating the number of trunks available to establish the tree depending on the number of available trunks on the subtree that is considered.

$$P_s(T_f | t_1, t_2, \dots, t_s, T_u, T_r) = \sum_{t=T_f}^{\min(t_1, T_u)} P_1(t | t_1, T_u, T_r) P_{s-1}(T_f | t_2, t_3, \dots, t_s, t, T_r + T_u - t) \quad (39)$$

where,

$$P_1(t|t_1, T_u, T_r) = \frac{\binom{T_u}{t} \binom{T_r}{t_1-t}}{\binom{T_u+T_r}{t_1}}. \quad (40)$$

$P(T_f)$  and  $P(T_f, T_l|T_h, T_p)$  form the basis for the analysis developed in this section. These probabilities are computed using a two-link correlation model as described in Section IV.

## VI. PERFORMANCE EVALUATION

The blocking performance of a multi-wavelength TDM switched network is analyzed in this section. Each link has 20 time slots (channels). Four combinations of number of wavelengths (trunks) and time slots are considered: (1)  $W = K = 20, T = S = 1$ ; (2)  $W = K = 1, T = S = 20$ ; (3)  $W = K = 2, T = S = 10$ ; and (4)  $W = K = 4, T = S = 5$ . Each wavelength is treated as a trunk. Hence, no wavelength conversion is assumed. However, the second case with a full-permutation switch can be treated as any combination of wavelength and time slots with full-wavelength conversion, time slot interchange, and full-permutation switching.

A node that views a link as  $K$  trunks with  $S$  channels per trunk is referred to as a  $K \times S$  node. A node that employs full-permutation switching is denoted by FP and one which provides time-space (channel-space) switching is denoted by CS.

Three kinds of network topologies are considered for performance evaluation:

1. a 25-node uni-directional ring network ( $\gamma_c = 0.92$ )
2. a  $25 \times 25$  bi-directional mesh-torus network ( $\gamma_c = 0.31$ )
3. a 10-dimensional hypercube network ( $\gamma_c = 0.09$ )

The networks are assumed to employ shortest-path routing. If more than one path is available with the minimum path length, then one of them is chosen at random. The path length distribution,  $P(z)$ , and the number of exit links,  $E$ , for the three networks are given below.

1. Uni-directional ring network with  $N$  nodes:

$$P(z) = \frac{1}{N-1} \quad 1 \leq z \leq N-1. \quad (41)$$

$$E = 1 \quad (42)$$

2.  $M \times M$  bi-directional mesh-torus network (if  $M$  is odd):

$$P(z) = \begin{cases} \frac{4z}{M^2-1} & \text{if } 1 \leq z \leq \frac{M-1}{2} \\ \frac{4(M-z)}{M^2-1} & \frac{M-1}{2} < z \leq M-1 \end{cases} \quad (43)$$

$$E = 3 \quad (44)$$

3.  $n$ -dimensional hypercube ( $N = 2^n$ ):

$$P(z) = \frac{1}{N-1} \binom{n}{z} \quad 1 \leq z \leq n \quad (45)$$

$$E = n - 1 \quad (46)$$

Fig. 11 shows the blocking performance with varying link load for a 25-node uni-directional ring network. The performance obtained by time-space and full-permutation nodes are almost the same in this network. It can be also be observed that a maximum of two orders of magnitude performance gain can be achieved by employing full-permutation switching. The maximum performance gain that can be achieved by switching depends on the correlation factor in the network. As the ring network has a very high correlation, a maximum of only two orders of performance improvement is possible.

Fig. 12 shows the blocking performance for a  $25 \times 25$  bi-directional mesh-torus network. At low loads (1 Erlang), the performance gain obtained by time-space and full-permutation nodes over a node with neither time-slot interchangers nor wavelength converters are approximately 10 and 12 orders of magnitude, respectively. At high loads (7 Erlangs), the blocking performance of the time-space and full-permutation nodes are lower by 1 and 3 orders of magnitude, respectively as compared to the performance obtained with nodes not employing time-slot interchange and wavelength conversion. Such a high performance gain, especially under low link loads, indicates the effectiveness of employing switching in networks with moderate link correlation.

Fig. 13 shows the blocking performance for a 10-dimensional hypercube network. The performance trends observed in this network are similar to that observed in a mesh-torus network. At low loads (1 Erlang), performance gain of up to 6 and 8 orders of magnitude can be obtained with time-space and full-permutation nodes, respectively over nodes that do not employ time-slot interchange and wavelength conversion. However, at high loads (7 Erlangs), the performance gain obtained reduces to 1 and 3 orders of magnitude for time-space and full-permutation switches, respectively.

It can also be observed that the performance offered by a  $1 \times 20$ ,  $2 \times 10$ , and  $4 \times 5$  full-permutation switches are almost the same. However, for a given capacity of a link, the switching speed of a  $1 \times 20$  switch has to be 4 times higher than that of a  $4 \times 5$  switch. On the other hand, a  $4 \times 5$  CS switch (4 wavelengths with 5 time slots per wavelength) requires four sets transmitters and receivers, although each of them could work at lower speeds. Also, the performance offered by their respective time-space switches are within two-orders of magnitude difference, indicating that a significant improvement in performance can be achieved by employing time-space switches.

#### A. Performance evaluation for tree establishment

Two regular  $k$ -ary tree structures are considered for performance evaluation: (1) binary ( $k = 2$ ) and (2) ternary ( $k = 3$ ). The number of levels in the tree are denoted by  $L$ , with the source reaching the  $2^L$  destination nodes. The

root of the node is assumed to be the source and the leaf nodes are assumed to be the destinations. The number of hops between two successive branching nodes is denoted by  $Z$ . The distance between the source and the first branching node is set as  $Z + 1$ . Fig. 14(a) and (b) show binary trees with the distance between the branching nodes as 1 and 2, respectively. Note that as  $Z$  increases, the number of links in the tree increases.

The blocking performance of multicast tree establishment are evaluated on two networks: (1)  $100 \times 100$  bi-directional mesh-torus and (2) 12-dimensional hypercube network. The choice of these networks are due to the medium and low correlation of link-loads.

Tables I and II show the blocking performance for establishing a 3-level binary tree and 3-level ternary trees, respectively, in a  $100 \times 100$  bi-directional mesh-torus network. Tables III and IV show the blocking performance versus link load for establishing a 2-level binary tree and 2-level ternary trees, respectively. The performance trends are similar for both the networks. The blocking probability with  $4 \times 5$  CS switches is two to four orders or magnitude higher than that with  $1 \times 20$  FP switches with increasing link load. The blocking probability with  $4 \times 5$  FP switches is at-most one order of magnitude higher than that with  $1 \times 20$  FP switches. These results indicate that a significant performance could be obtained if the channels in a link are split into more trunks and employ full-permutation switching.

It is also observed that the blocking probability for establishing a path, having the same number of links as in trees considered above, is almost the same as the blocking probability of establishing the tree in the network with the same switch architecture. The path blocking probabilities are not reported separately as they exactly match the values of the tree blocking performance to the accuracy reported in the tables. The difference between the blocking probabilities of establishing a binary or a ternary tree and a path with the same number of links is observed to be less than 1% of the path blocking performance. In general, if the degree of branching at each node in the network decreases, the tree blocking performance can be approximated to a path blocking performance if the number of links in both are the same. Note that, in an extreme case when the degree of branching at the intermediate node is the same as the number of destination nodes, the analytical model emulates a statistical link-independence model. Hence, its comparison with the blocking performance of a path with the same number of links would indicate the difference between the blocking probabilities obtained using a link-independence and link-correlation model, which could be significant for longer paths and at high network loads.

The performance results show that switching is effective in networks with low or medium link correlation achieving high performance gain. Also, in such networks, a significant performance gain is achieved with just a time-space switch, thus indicating the feasibility of realizing optical time switched networks in the near future offering good performance with simple switch architectures.

## VII. CONCLUSION

The concept of trunk switched network is proposed in this paper to facilitate modeling and analysis of networks with heterogeneous node architectures. An analytical model for evaluating the blocking performance of a class of trunk switched networks is also developed. Using the analytical model, it is shown that a significant performance gain can be achieved with a time-space switch with no wavelength conversion in a multi-wavelength TDM switched network.

The scope of the analytical model presented in this paper is limited to homogeneous TSN's. However, the analysis can be extended to heterogeneous TSN's by mapping the trunk distribution viewed by a node employing one switch architecture to that viewed by a node employing another switch architecture.

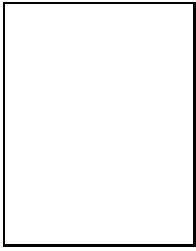
## ACKNOWLEDGMENTS

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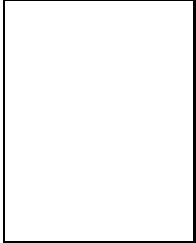
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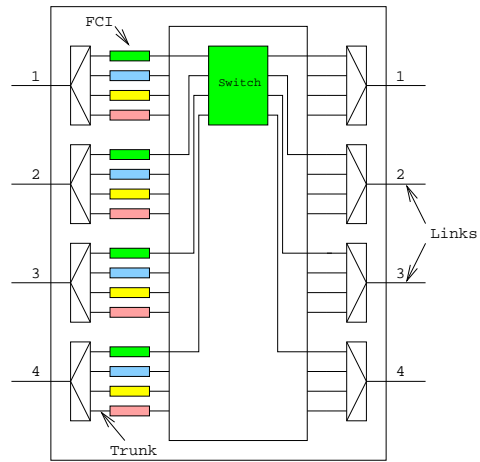
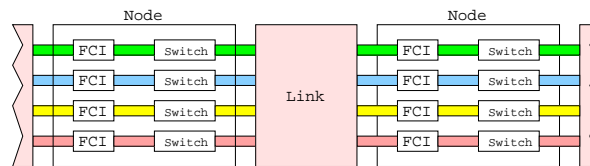
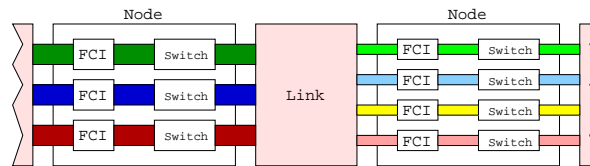


Fig. 1. Node architecture in a TSN.



(a)



(b)

Fig. 2. Two nodes connected by a link in a TSN. (a) The link is viewed as 4 trunks by both the nodes. (b) The link is viewed as 3 and 4 trunks by the first and second node, respectively.

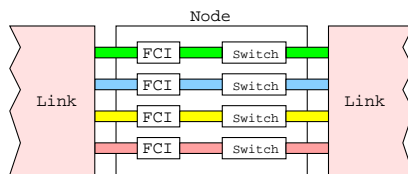


Fig. 3. Two links connected by a node.

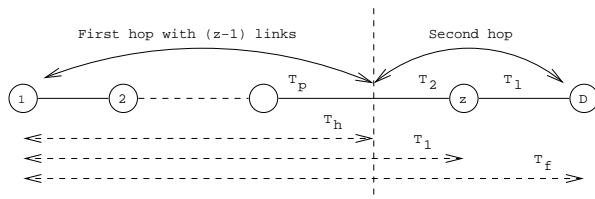


Fig. 4. A  $z$ -link path model.

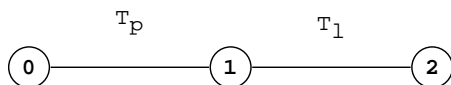


Fig. 5. A two-link path model.

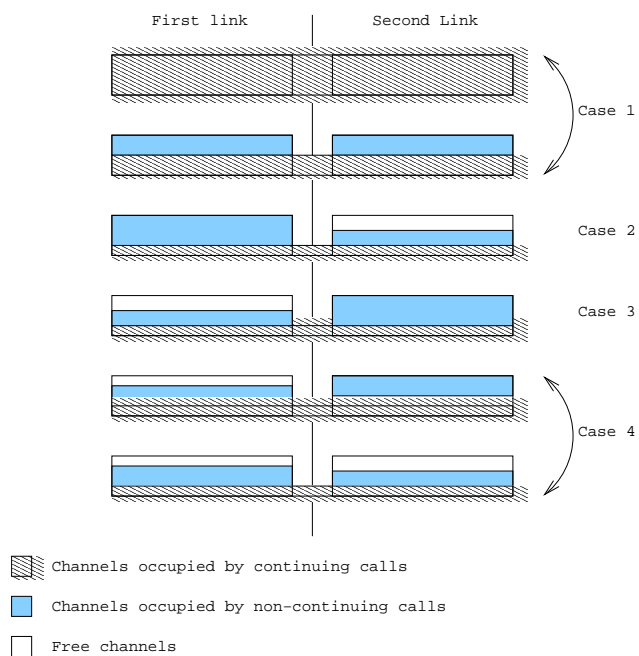


Fig. 6. A pictorial representation of the states of a trunk on a two-link path.

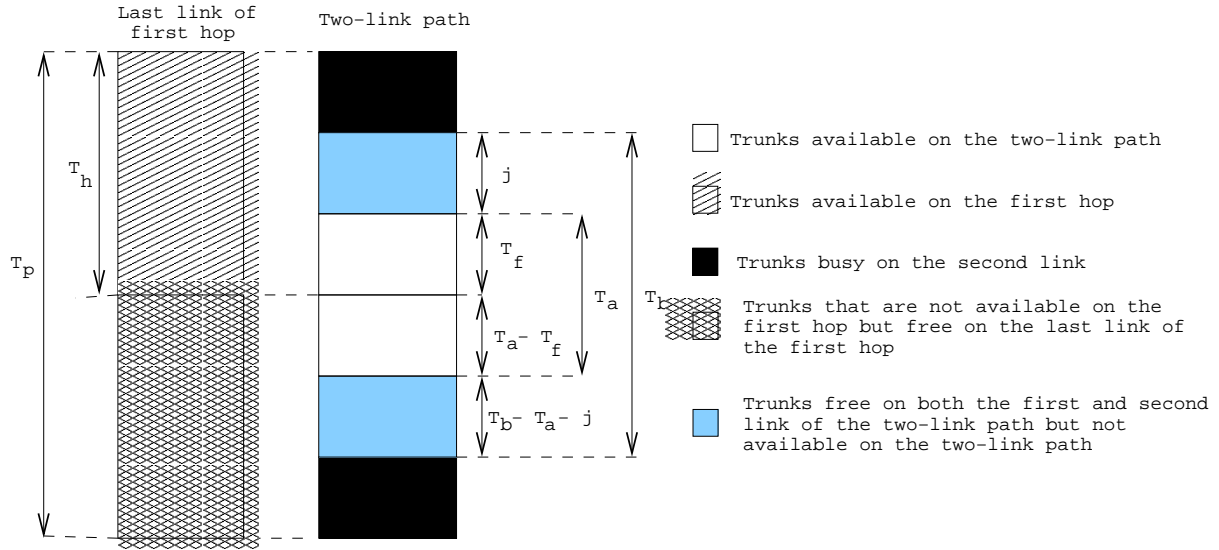


Fig. 7. A pictorial representation of the free trunks on the last link of the first hop and that on the two-link path.

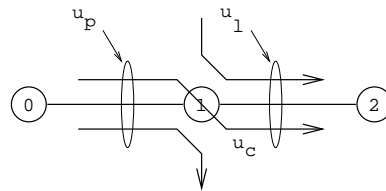


Fig. 8. Channel occupancy on a two-link path model.

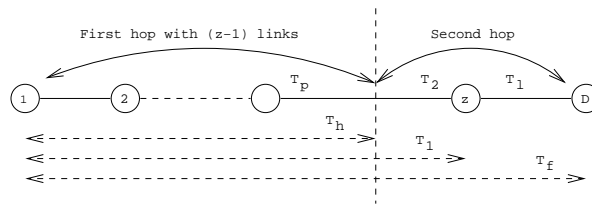


Fig. 9. A z-link path model.

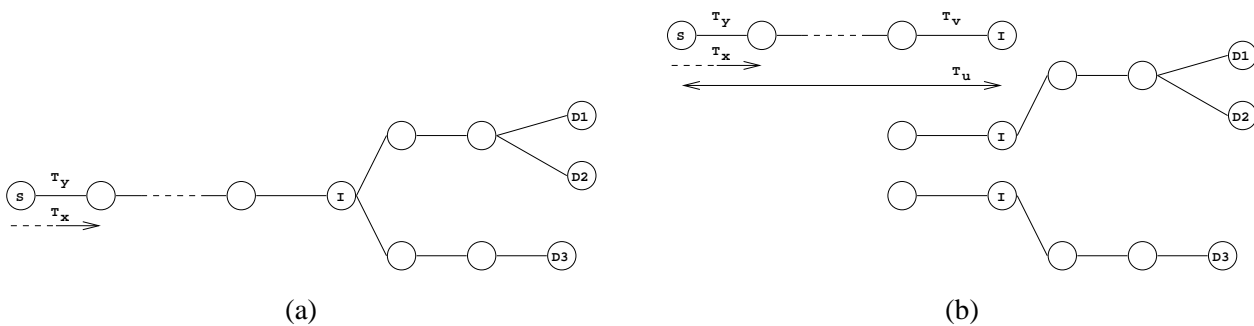


Fig. 10. (a) Example multicast tree considered for analysis. (b) Decomposition of the tree into a path and set of sub-trees for analysis.

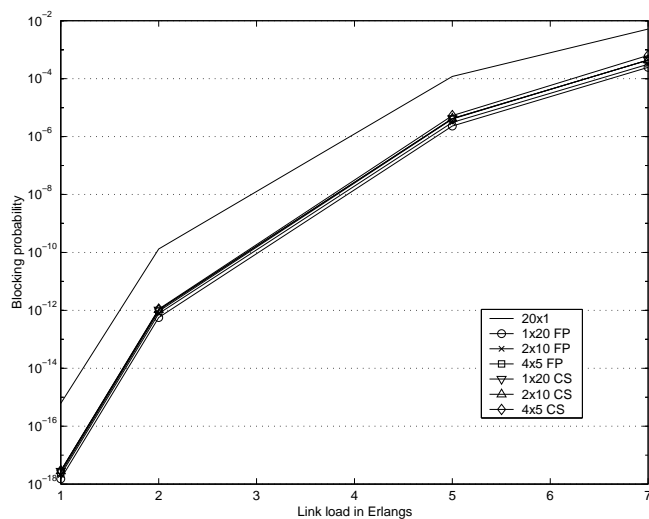


Fig. 11. Blocking probability versus link load for a 25-node uni-directional ring network.

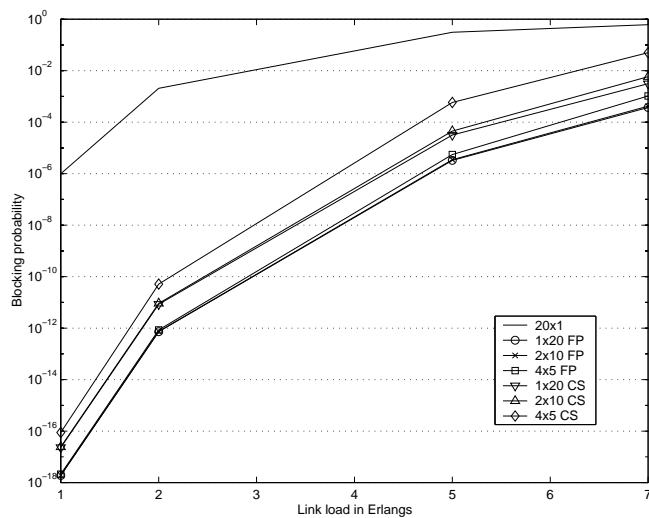


Fig. 12. Blocking probability versus link load for a 25x25 bi-directional mesh-torus network.

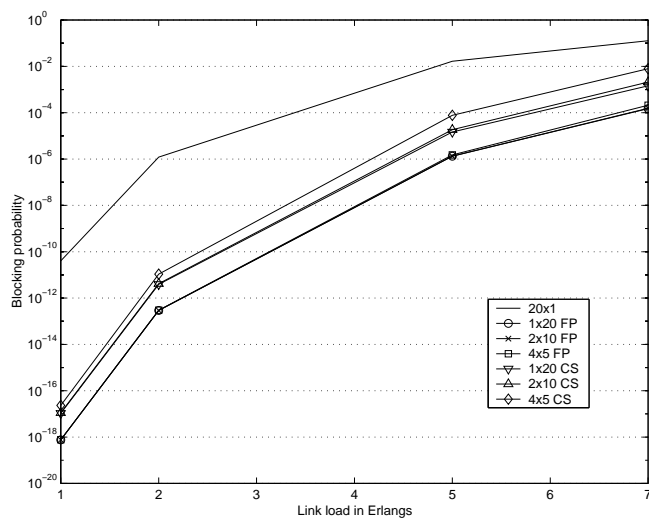


Fig. 13. Blocking probability versus link load for a 10-dimensional hypercube network.

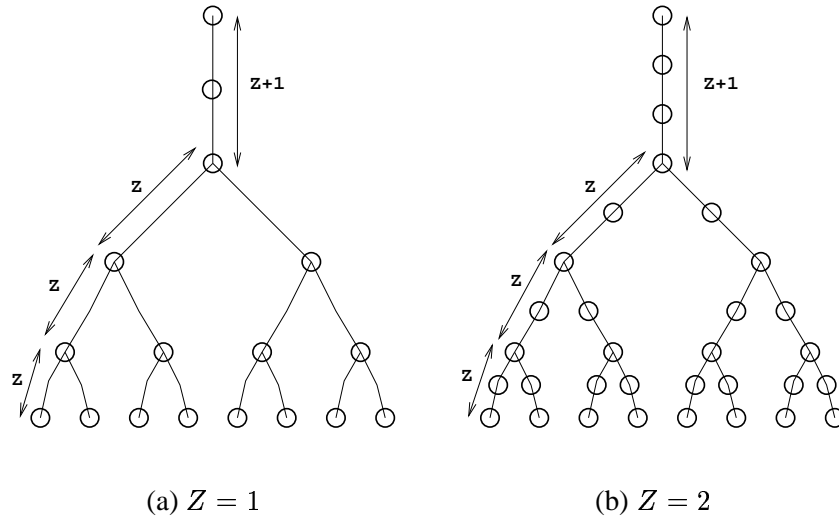


Fig. 14. Example binary trees considered for performance evaluation. (a) Distance between two branching nodes is 1. (b) Distance between two branching nodes is 2.

Node type	Z	Link load			
		1E	2E	4E	7E
4×5 CS	1	$1.4 \times 10^{-16}$	$6.1 \times 10^{-11}$	$1.8 \times 10^{-5}$	$6.7 \times 10^{-2}$
	2	$8.1 \times 10^{-16}$	$5.3 \times 10^{-10}$	$1.7 \times 10^{-4}$	$2.9 \times 10^{-1}$
	3	$3.3 \times 10^{-15}$	$2.3 \times 10^{-09}$	$6.9 \times 10^{-4}$	$5.4 \times 10^{-1}$
4×5 FP	1	$2.8 \times 10^{-18}$	$1.1 \times 10^{-12}$	$1.9 \times 10^{-7}$	$1.4 \times 10^{-3}$
	2	$5.4 \times 10^{-18}$	$2.2 \times 10^{-12}$	$4.4 \times 10^{-7}$	$5.4 \times 10^{-3}$
	3	$8.1 \times 10^{-18}$	$3.4 \times 10^{-12}$	$8.2 \times 10^{-7}$	$1.4 \times 10^{-2}$
1×20 FP	1	$2.4 \times 10^{-18}$	$9.3 \times 10^{-13}$	$1.3 \times 10^{-7}$	$4.8 \times 10^{-4}$
	2	$4.7 \times 10^{-18}$	$1.8 \times 10^{-12}$	$2.6 \times 10^{-7}$	$9.2 \times 10^{-4}$
	3	$7.0 \times 10^{-18}$	$2.7 \times 10^{-12}$	$3.8 \times 10^{-7}$	$1.4 \times 10^{-3}$

TABLE I

BLOCKING PROBABILITY VERSUS LINK LOAD FOR ESTABLISHING A 3-LEVEL BINARY TREE IN A  $100 \times 100$  BI-DIRECTIONAL MESH-TORUS NETWORK.

Node type	Z	Link load			
		1E	2E	4E	7E
4×5 CS	1	$2.2 \times 10^{-15}$	$1.5 \times 10^{-9}$	$4.6 \times 10^{-4}$	$4.6 \times 10^{-1}$
	2	$2.8 \times 10^{-14}$	$1.9 \times 10^{-8}$	$4.8 \times 10^{-3}$	$8.8 \times 10^{-1}$
	3	$1.3 \times 10^{-13}$	$9.2 \times 10^{-8}$	$1.8 \times 10^{-2}$	$9.8 \times 10^{-1}$
4×5 FP	1	$7.2 \times 10^{-18}$	$3.0 \times 10^{-12}$	$6.8 \times 10^{-7}$	$1.0 \times 10^{-2}$
	2	$1.5 \times 10^{-17}$	$6.5 \times 10^{-12}$	$2.5 \times 10^{-6}$	$5.5 \times 10^{-2}$
	3	$2.2 \times 10^{-17}$	$1.1 \times 10^{-11}$	$7.2 \times 10^{-6}$	$1.4 \times 10^{-1}$
1×20 FP	1	$6.2 \times 10^{-18}$	$2.4 \times 10^{-12}$	$3.4 \times 10^{-7}$	$1.2 \times 10^{-3}$
	2	$1.2 \times 10^{-17}$	$4.7 \times 10^{-12}$	$6.7 \times 10^{-7}$	$2.4 \times 10^{-3}$
	3	$1.8 \times 10^{-17}$	$7.1 \times 10^{-12}$	$1.0 \times 10^{-6}$	$3.6 \times 10^{-3}$

TABLE II

BLOCKING PROBABILITY VERSUS LINK LOAD FOR ESTABLISHING A 3-LEVEL TERNARY TREE IN A  $100 \times 100$  BI-DIRECTIONAL MESH-TORUS NETWORK.

Node type	Z	Link load			
		1E	2E	4E	7E
4×5 CS	1	$6.9 \times 10^{-17}$	$3.5 \times 10^{-11}$	$7.7 \times 10^{-6}$	$2.6 \times 10^{-2}$
	2	$5.2 \times 10^{-16}$	$2.9 \times 10^{-10}$	$6.9 \times 10^{-5}$	$1.4 \times 10^{-1}$
	3	$2.1 \times 10^{-15}$	$1.2 \times 10^{-9}$	$2.7 \times 10^{-4}$	$3.1 \times 10^{-1}$
4×5 FP	1	$1.2 \times 10^{-18}$	$4.8 \times 10^{-13}$	$7.3 \times 10^{-8}$	$3.9 \times 10^{-4}$
	2	$2.3 \times 10^{-18}$	$9.1 \times 10^{-13}$	$1.5 \times 10^{-7}$	$1.2 \times 10^{-3}$
	3	$3.4 \times 10^{-18}$	$1.4 \times 10^{-12}$	$2.6 \times 10^{-7}$	$2.8 \times 10^{-3}$
1×20 FP	1	$1.2 \times 10^{-18}$	$4.7 \times 10^{-13}$	$6.6 \times 10^{-8}$	$2.4 \times 10^{-4}$
	2	$2.3 \times 10^{-18}$	$8.7 \times 10^{-13}$	$1.2 \times 10^{-7}$	$4.5 \times 10^{-4}$
	3	$3.3 \times 10^{-18}$	$1.3 \times 10^{-12}$	$1.8 \times 10^{-7}$	$6.6 \times 10^{-3}$

TABLE III

BLOCKING PROBABILITY VERSUS LINK LOAD FOR ESTABLISHING A 2-LEVEL BINARY TREE IN A 12-DIMENSIONAL HYPERCUBE NETWORK.

Node type	Z	Link load			
		1E	2E	4E	7E
4×5 CS	1	$4.1 \times 10^{-16}$	$2.3 \times 10^{-10}$	$5.5 \times 10^{-5}$	$1.7 \times 10^{-1}$
	2	$4.5 \times 10^{-15}$	$2.6 \times 10^{-9}$	$5.7 \times 10^{-4}$	$4.4 \times 10^{-1}$
	3	$2.0 \times 10^{-14}$	$1.2 \times 10^{-8}$	$2.3 \times 10^{-3}$	$7.1 \times 10^{-1}$
4×5 FP	1	$2.1 \times 10^{-18}$	$8.5 \times 10^{-13}$	$1.4 \times 10^{-7}$	$1.0 \times 10^{-3}$
	2	$4.2 \times 10^{-18}$	$1.7 \times 10^{-12}$	$3.5 \times 10^{-7}$	$4.5 \times 10^{-3}$
	3	$6.4 \times 10^{-18}$	$2.7 \times 10^{-12}$	$7.4 \times 10^{-7}$	$1.2 \times 10^{-2}$
1×20 FP	1	$2.1 \times 10^{-18}$	$8.2 \times 10^{-13}$	$1.2 \times 10^{-7}$	$4.2 \times 10^{-4}$
	2	$4.1 \times 10^{-18}$	$1.6 \times 10^{-12}$	$2.2 \times 10^{-7}$	$8.1 \times 10^{-4}$
	3	$6.0 \times 10^{-18}$	$2.3 \times 10^{-12}$	$3.3 \times 10^{-7}$	$1.2 \times 10^{-3}$

TABLE IV

BLOCKING PROBABILITY VERSUS LINK LOAD FOR ESTABLISHING A 2-LEVEL TERNARY TREE IN A 12-DIMENSIONAL HYPERCUBE NETWORK.